

Date	Thursday, 12 November 2015
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Title of paper	DUE DILIGENCE WORK - UPDATE
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Confidential	Yes	<input type="checkbox"/>	No	<input checked="" type="checkbox"/>	Items are only confidential if it is in the public interest for them to be so

The Committee is asked to: NOTE
Note / Endorse / Recommend / Approve / Accept

Summary of purpose and scope of report
<p>The purpose of the report is to update the committee members on the progress on the due diligence engagement.</p> <ul style="list-style-type: none"> • The legal due diligence engagement has been awarded to Capsticks, with the terms attached. • The financial and performance due diligence has been awarded RSM (formerly BakerTilly), with terms attached. • The engagements are to conclude on 15 December, with Capsticks producing a single overall report, highlighting generic issues plus CCG specific issues. • The RSM engagement commenced on 7 October 2015 • The Capsticks engagement commenced on 3 November 2015. • Releasing the required data on a timely basis, both from NHSE and NHS Property Services continues to be a challenge. To meet the agreed deadline of 15 December 2015 the information issues will need to be resolved this week. • The Finance and Contracting Sub Group will meet in the last week of November to consider the draft reports.

Quality & Safety/ Patient Engagement/ Impact on patient services:
Due diligence engagement includes identifying contract performance issues.

Finance, resources and QIPP
<p>The two elements of the work have been engaged under the relevant procurement framework agreements.</p> <p>Significant input is required from NHSE teams.</p>

Equality / Human Rights / Privacy impact analysis

N/A

Risk	Mitigating actions
<p>Are there any risks?</p> <p>That timescales are not met due to delays from NHSE in providing the required information.</p>	<p>If so what are the mitigating actions in place or proposed to be in place?</p> <p>Senior leads within NHSE for finance and contracting have been named. NHSE contracting and finance team members have been involved in agreeing the specification and timelines. The NHSE teams are also actively engaged in the kick-off meetings, weekly progress calls. Arrangements are designed to minimise the work required from NSHE.</p>
Supporting documents	
Terms of reference for (i) financial due diligence and (ii) legal due diligence.	

Governance and reporting

Committee name	Date discussed	Outcome
<p>Finance and Contracting Sub Group is monitoring the progress of the due diligence work.</p> <p>This paper has not specifically been discussed at that group.</p>	21.10.15	Progress noted.